

01-10-02

**NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)**

☐ Duplicate  
(check, if applicable)

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, DC 20231

**Attorney Docket No. 10645-1U1**  
**First Named Inventor: Ronald L. Lewis, Jr.**  
**Express Mail Label No. EL 916934800 US**

**Total Pages of Transmittal Form: 2**

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**SOUND GENERATING AMUSEMENT DEVICE**

which is:

an ☒ Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)

of prior Application No. &@ filed&@.

Anticipated Group/Art Unit: &@ or Class &@, Subclass &@.

☒ This non-provisional patent application is based on Provisional Patent Application  
No.60/260,233 filed January 8, 2001.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 6 pages.
- ☐ Application Data Sheet.
- ☐ Newly executed/unexecuted Declaration (original/copy).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 1 sheet of formal drawings.
- ☐ Transmittal Letter Accompanying Submission of Compact Disc in Accordance  
with 37 C.F.R. §1.52(e), plus two identical compact discs (for computer program  
Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☐ Name of Assignee: &@
- ☐ Certified copy(ies) of &@ Application No(s). &@ filed &@ is/are filed:
  - ☐ herewith or ☐ in prior application &@.
- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under  
37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern,  
or ☐ a Non-Profit Organization.
- ☐ Preliminary Amendment.
- ☐ Information Disclosure Statement, PTO/SB/08A, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)

[ ] Other: &@

The filing fee is calculated as follows:

			SMALL ENTITY			LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE:			BASIC FEE:	
			\$370			\$740	
Total	20 =	0	X9	\$	OR	X18	\$
Independent	3 =	0	X42	\$	OR	X84	\$
[ ] Multiple Dependent Claims Present			\$140	\$	OR	\$280	\$
			TOTAL	\$	OR	TOTAL	\$

- [ ] The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- [ ] A check in the amount of \$\_\_\_\_.00 to cover the filing fee is enclosed.
- [ ] The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. &@)** as noted below. A duplicate copy of this sheet is enclosed.
- [ ] Any overpayments or deficiencies in the above-calculated fee.
- [ ] Filing fee in the amount of \$\_\_\_\_\_ as calculated above.
- [ ] Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- [ ] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS:**

**RONALD L. LEWIS, JR.**

Jan 8, 2002  
(Date)

By:

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**JOHN JAMIESON, JR.**

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